

# UAMS

UNIVERSITY OF ARKANSAS  
FOR MEDICAL SCIENCES



**Presented by: Office of the Vice Chancellor for Research**

**Date: April 1, 2014**



# Agenda

## ■ Updates & Timely Information from Research Support:

- ⊕ Office of the VCR
- RSC
- IRB
- IACUC
- HIPAA
- UAMS Library
- BioVentures
- ⊕ Cost/Grants Accounting
- COI
- ⊕ ORSP
- ORC
- DLAM
- OGSP
- ⊕ Immigration
- Medical Informatics
- ⊕ Employee Health



# Personnel Access to Animal Use Facilities – UAMS/ACH

Melissa Vandiver, BBA, Student and Employee Health

## ■ Required Screenings

(for anyone with access to Animal Use Facilities)

### ■ New Employee Medical Screening, NEMS

- Condition of Employment
- Required completion within 31 days of hire date
- Initial lab animal screening will be completed at this time
  - Includes animal allergy questionnaire

### ■ Annual Screening

- Due annually on employee hire date
- Includes allergy questionnaire



# Personnel Access to Animal Use Facilities – UAMS/ACH

Melissa Vandiver, BBA, Student and Employee Health

## ■ Required Vaccinations

### ■ TB skin test (PPD)

- New hires must complete the initial series of 2 PPDs
- Anyone with access to the animal use labs are required to complete 1 PPD annually

### ■ MMR series (Measles, Mumps, Rubella)

- 2 shot series
- Anyone born before 1957 receives age exemption

### ■ Td (Tetanus)/Tdap (Tetanus and Pertussis - recommended)

- Must remain current, renewal required every 10 years

### ■ Influenza - (flu shot) required annually



# Personnel Access to Animal Use Facilities – UAMS/ACH

Melissa Vandiver, BBA, Student and Employee Health

## ■ Recommended Vaccinations

### ■ Hepatitis B vaccine series

- Series of 3 shots
- Protects against contracting the Hepatitis B virus
- Transmitted through blood/body fluids

### ■ Varicella vaccine series

- Series of 2 shots
- Protects against chicken pox virus



# Immigration & Non-Employees in Labs

Lisa Gaddy, Immigration Manager  
Lucie Ingram, Associate General Counsel

- **Types of Visas at UAMS**
  - Most common
    - H-1B
    - J-1
    - Permanent resident
  - Additional visas
    - O-1, TN, E-3, F-1



# Immigration & Non-Employees in Labs

Lisa Gaddy, Immigration Manager  
Lucie Ingram, Associate General Counsel

- **Some Dependent Visas Allow Employment**
  - Dependent of a J-1 is a J-2 – EMPLOYMENT ALLOWED
  - Dependent of an E-3 is an E3D – EMPLOYMENT ALLOWED
  - Permanent Resident (“Green Card”) – EMPLOYMENT ALLOWED for all “Green Card” holders



# Immigration & Non-Employees in Labs

Lisa Gaddy, Immigration Manager  
Lucie Ingram, Associate General Counsel

- **Most Dependent Visas Do Not Allow Employment**
  - Dependent of an H-1B is an H-4 – NO EMPLOYMENT
  - Dependent of an O-1 is an O-3 – NO EMPLOYMENT
  - Dependent of a TN is a TD – NO EMPLOYMENT
  - Dependent of an F-1 is an F-2 – NO EMPLOYMENT





# Immigration & Non-Employees in Labs

Lisa Gaddy, Immigration Manager  
Lucie Ingram, Associate General Counsel

- If a non-employment authorized dependent violates his/her immigration visa terms, he/she may be subject to the following:
  - Deportation
- If an employer violates immigration rules, the employer may be subject to the following:
  - Withdrawal of immigration program for entire institution
  - Substantial fine (millions of dollars)
  - Intensive audit of other governmental programs



# Immigration & Non-Employees in Labs

Lisa Gaddy, Immigration Manager  
Lucie Ingram, Associate General Counsel

## ■ Non-Employee Assignments—UAMS Policy 4.5.30

### ■ Non-Employee

- All persons other than paid UAMS employees who are routinely on UAMS campus and need a UAMS badge, data access, email, or library privileges
  - Vendors, employees of other agencies, volunteers, academic visitors
- Currently, non-employees must go through HR for SAP and UAMS privileges



# Immigration & Non-Employees in Labs

Lisa Gaddy, Immigration Manager  
Lucie Ingram, Associate General Counsel

## ■ Non-Employee Assignments—UAMS Policy 4.5.30

### ■ Volunteer

- A non-employee who freely gives his/her time to provide services that would not otherwise be considered compensatory services
  - Way finder, gift shop attendant, and patient care volunteer
  - **Laboratory research and performing lab experiments are not volunteer opportunities at UAMS**
- All volunteers are approved and supervised by the UAMS Volunteer Services Department



# Immigration & Non-Employees in Labs

Lisa Gaddy, Immigration Manager  
Lucie Ingram, Associate General Counsel

## ■ Non-Employee Assignments—UAMS Policy 4.5.30

- Visiting Faculty/Researcher and Academic Visitors
  - A non-employee who has been approved by a department to serve as a non-employee from another institution
  - All visitors must be approved by Vice Chancellor for Academic Affairs
    - UAMS Policy 12.1.01—Academic Visitor Status for UAMS Campus



# ORSP Update

Suzanne Alstadt, Director  
Office of Research & Sponsored Programs

**Please welcome our new employee!**



**Andrea (Andi) Ham, BS  
Grants Administrator**

501-686-5504; [ACHam@uams.edu](mailto:ACHam@uams.edu)



# TRACKS-Grant Update

Rebecca Nickleson, MSHS, CRA  
Assistant Director, ORSP

- **We are on TRACK to go live**
  - 6 Pilot Groups
    - Mandatory Training – Currently Underway
      - Pre-award - Principal Investigators, Primary Contacts and Approvers
      - Post-award – Department Administrators and Grant Managers
  - **February 10** – Pilot groups will begin using TRACKS-Grant



# TRACKS-Grant Update

Rebecca Nickleson, MSHS, CRA  
Assistant Director, ORSP

## ■ Full Campus Rollout

- Mandatory Training – Will begin in late March
  - Pre-award - Principal Investigators, Primary Contacts and Approvers
  - Post-award – Department Administrators and Grant Managers
- **May 12** – Full Campus will have access to the TRACKS-Grant system
- No more ARIA!!!!



# UAMS F&A Rate for FY14-17

Ty Stephens, CPA

Director of Cost Accounting

## ■ New F&A Rates for UAMS

- **Effective July 1, 2013** - UAMS has new F&A rates for Organized Research and Other Sponsored Activity Grants
- These rates are approved until June 30, 2017





# UAMS F&A Rate for FY14-17

Ty Stephens, CPA

Director of Cost Accounting

## ■ New F&A Rates for UAMS

### ■ Organized Research

#### ■ On campus rate - 49%

- This represents a 1.5% increase from 47.5%

#### ■ Off Campus Rate - 26%

- This rate has remained constant, since it is a capped rate



# UAMS F&A Rate for FY14-17

Ty Stephens, CPA

Director of Cost Accounting

- **New F&A Rates for UAMS**
  - Other Sponsored Activity
    - On Campus Rate - 24%
      - This represents a 12% decrease from 36%
    - Off Campus Rate - 20%
      - This represents a 6% decrease from 26%



# UAMS F&A Rate for FY14-17

Ty Stephens, CPA

Director of Cost Accounting

- **Why should we care what the rate is?**
  - Each percentage point in our Organized Research rate calculation equates to roughly \$500,000 in recovery per year.
  - The rate is for 4 years, so a 1% increase now equates to roughly \$2M over the life of the rate agreement.
  - Every project that doesn't pay the full F&A rate dilutes that recovery.



# UAMS F&A Rate for FY14-17

Ty Stephens, CPA

Director of Cost Accounting

- FY2012 F&A Costs related to Organized Research were \$25.9 million
- FY2012 F&A Costs related to Other Sponsored Projects were \$9.2 million
- FY2012 Recovery of F&A Costs on Sponsored Projects was \$24.4 million
- Total Facility and Admin costs supporting Grants was \$35.1 Million; Recovery was only \$24.4 million



# Inside the Beltway Scoop

Larry Cornett, Ph.D., Vice Chancellor for Research

## ■ FY2014 Omnibus



**Kumbaya**

Kum-ba-ya, my Lord, kum-ba-ya,  
Kum-ba-ya, my Lord, kum-ba-ya,  
Kum-ba-ya, my Lord, kum-ba-ya,  
Kum-ba-ya, my Lord, kum-ba-ya,





# Inside the Beltway Scoop

Larry Cornett, Ph.D., Vice Chancellor for Research

## ■ Federal R&D by Agency

Agency	FY14 Conference (\$, billions)	FY 12 (% Change)	FY 13 (% change)
NIH	29.3	-2.2	+3.5
Other HHS	1.4	+1.8	+6.3
NSF	5.8	+2.4	+6.1
VA	1.17	+0.9	+3.5



# Inside the Beltway Scoop

Larry Cornett, Ph.D., Vice Chancellor for Research

## ■ NIH R&D Spending by Institute

Institute	FY 14 Conference (\$, billions)	FY 12 (% Change)	FY13 (% Change)
NCI	4.923	-2.8	+3.0
NHLBI	4,359	-2.8	+3.0
NINDS	1.588	-2.2	+3.6
NIA	1.171	+4.5	+12.6
NCATS	0.633	+10.3	+16.8



# Inside the Beltway Scoop

Larry Cornett, Ph.D., Vice Chancellor for Research

- NIH able to fund additional 385 grants in FY14
- Salary cap increased by 1%--now \$181,500
- IDeA Program adjusted back to \$273 million (allows more COBREs and translational COBREs)
- Creation of a working group to address administrative burden





# Next RESIN

## ■ Next RESIN

- **May 6, 2014 @ 12:00 p.m.**
- Location - **Walton Auditorium**, Winthrop P. Rockefeller Cancer Institute, 10<sup>th</sup> floor
- All RESIN presentations archived on the UAMS Research website
  - [http://www.uams.edu/research/RESIN\\_Achive.asp](http://www.uams.edu/research/RESIN_Achive.asp)