

# UAMS

UNIVERSITY OF ARKANSAS  
FOR MEDICAL SCIENCES



**Presented by: Office of the Vice Chancellor for Research**  
**Date: December 2, 2014**



# Agenda

## ■ Updates & Timely Information from Research Support:

✦ Office of the VCR

RSC

✦ IRB

IACUC

HIPAA

✦ UAMS Library

BioVentures

✦ Cost Accounting

✦ COI

ORSP

ORC

DLAM

OGSP

CCTR/Core Facilities

Medical Informatics



# NIH Public Access Policy: Lessons Learned & FAQ

Jessie Casella, MLIS, UAMS Library

- Time
- Publisher policies
- Authors leaving UAMS
- Linking accounts



# NIH Public Access Policy: Lessons Learned & FAQ

Jessie Casella, MLIS, UAMS Library

## ■ Time for Compliance

- Start submission process as soon as copyright allows
- NIHMSID# is valid for 90 days after publication if process started prior or once submission process is started
- 6-8 week delay in NIH processing
- Embargo does not delay compliance



# NIH Public Access Policy: Lessons Learned & FAQ

Jessie Casella, MLIS, UAMS Library

## ■ Publisher Policies

- Copyright policies vary by publisher
- Serial Monographs
  - ISBN vs. ISSN numbers
- Negotiate copyright agreements before signing



# NIH Public Access Policy: Lessons Learned & FAQ

Jessie Casella, MLIS, UAMS Library

## ■ Authors Leaving UAMS

- Articles funded by UAMS remain on the UAMS non-compliant list even when author leaves
- Important to make authors aware of compliance requirements before they leave



# NIH Public Access Policy: Lessons Learned & FAQ

Jessie Casella, MLIS, UAMS Library

## ■ Linking Accounts

- eRA Commons no longer support bibliography
- My NCBI account is necessary for bibliography
- Accounts must be linked to see award view of article



# NIH Public Access Policy: Lessons Learned & FAQ

Jessie Casella, MLIS, UAMS Library

## ■ Questions

### Jessie Casella, MLIS

Education & Reference Department

UAMS Library

686-8517

[JMCasella@uams.edu](mailto:JMCasella@uams.edu)

<http://library.uams.edu/scholarly-resources/nih-public-access/>





# Conflict of Interest Software Upgrade

Nancy Rhea, M.S. Director UAMS Conflict of Interest

- **COI Software Changes...**
  - Major improvements over existing software
    - Plans to implement in Spring 2015
    - Software more aligned with Federal Regulations
    - COI form and questions will be similar or remain the same



# Conflict of Interest Software Upgrade

Nancy Rhea, M.S. Director UAMS Conflict of Interest

- **COI Software Changes...**
  - Faculty and staff will input COI data once
  - Update COI forms yearly and as needed
  - Much easier in the future
  - COI software will be linked to Grants software
  - Improve process between COI review and award release



# Protecting HHS Grants from Fraud, Waste, and Abuse -OIG Annual Report

## Ty Stephens, Director of Cost Accounting

- **OIG FY14 Audit Findings**
  - University of North Carolina Chapel Hill
    - Cost Transfers
    - Consistency in Charges
  - University of California San Diego
    - Administrative and Clerical Costs



# Protecting HHS Grants from Fraud, Waste, and Abuse -OIG Annual Report

## Ty Stephens, Director of Cost Accounting

### ■ **OIG FY15 Audit Work plan**

#### ■ Extramural Construction Grants

- Review of bidding procedures, wage rate reviews, and allowable cost reviews
- UAMS – No recent construction awards

#### ■ College and University Compliance with Cost Principles

- Various reviews of charges made to HHS grants at universities to determine if proper and allowable



# Protecting HHS Grants from Fraud, Waste, and Abuse -OIG Annual Report

## Ty Stephens, Director of Cost Accounting

### ■ UAMS FY15 Work plan

#### ■ Review, Revise, and Create Policies

- UAMS has a grants policy committee that is reviewing the current UAMS grants policies to ensure that the ever changing grant arena issues are addressed.

#### ■ Grants Management Certification Program

- The UAMS Grants Management Certification Program is being 'beefed up' to insure that research administrators are well informed of federal issues.



# Use of External IRBs

Jennifer Holland, J.D., Director, IRB

- Pilot Group – NCI Cooperative group studies
- Additional types of studies will eventually be able to request the use of an external IRB
- There will be restrictions on the types of studies allowed to go to an external IRB. Those restrictions will be announced in the near future.



# Use of External IRBs

Jennifer Holland, J.D., Director, IRB

- **CLARA** - System is an institutional system rather than just an IRB submission system. Therefore, as a coordinator/PI the initial submission process will be virtually the same as it is now.
- The roles and responsibilities that an external IRB takes on varies between the IRB's. There are also different processes for study submissions and receipt of approved documents.



# Development Enhancement Awards for Proposals (DEAP)

Linda Williams, Research Liaison, Office of Research

- **New UAMS Intramural Funding Program - Development Enhancement Awards for Proposals (DEAP)**
- **Purpose**
  - To provide funds to address comments & needed revisions for all PIs who have received a score and comments on a NIH proposal, but did not receive funding





# Development Enhancement Awards for Proposals (DEAP)

Linda Williams, Research Liaison, Office of Research

## ■ Who can apply?

- All new and established UAMS faculty members who have received a competitive score and comments on a NIH proposal (or other significant federal program) but did not receive funding
- Awards will be made to full-time UAMS faculty members and part-time (50%+) faculty members, (including VA appointments), who are at the rank of instructor or above



# Development Enhancement Awards for Proposals (DEAP)

Linda Williams, Research Liaison, Office of Research

## ■ DEAP Program Awards

- Awards of \$10,000 - \$25,000
- Funding is intended to assist investigators in conducting pilot studies and/or collecting additional data that directly responds to reviewers' comments and strengthens a resubmission
- Awards are strictly limited to supporting and strengthening a reapplication following receipt of a scored proposal.



# Development Enhancement Awards for Proposals (DEAP)

Linda Williams, Research Liaison, Office of Research

- **DEAP Program Awards** (cont.)
  - Awards will be for up to one year
  - Reviewer comments and a plan for how they will be addressed is required
  - UAMS Research Committee (URC) working out final details of submission guidelines
  - As soon as that process is complete, the DEAP RFP will be sent out to all colleges



# Development Enhancement Awards for Proposals (DEAP)

Linda Williams, Research Liaison, Office of Research

## ■ URC Contact

- Jean McSweeney, Ph.D., R.N.,  
Chair, UAMS Research Committee

501-296-1982, [mcsweeneyjeanc@uams.edu](mailto:mcsweeneyjeanc@uams.edu)

- URC web page - <http://research.uams.edu/research-administration/uams-research-committee/>



# Showcase of Medical Discoveries – Rare Diseases

Linda Williams, Research Liaison, Office of Research

## ■ Showcase – Rare Diseases

- As designated by NIH Office for Rare Diseases  
<http://rarediseases.info.nih.gov/>

- **February 18, 2015**

- 4:30 p.m. to 6:00 p.m.

- 10<sup>th</sup> floor Winthrop P. Rockefeller Cancer Institute

- Research reception and 12 poster presentations



# Next RESIN

## ■ Next RESIN

- **January 6, 2015 @ 12:00 p.m.**
- Location - **Walton Auditorium**, Winthrop P. Rockefeller Cancer Institute, 10<sup>th</sup> floor
- All RESIN presentations archived on the UAMS Research website
  - [http://www.uams.edu/research/RESIN\\_Achive.asp](http://www.uams.edu/research/RESIN_Achive.asp)