

UAMS

UNIVERSITY OF ARKANSAS
FOR MEDICAL SCIENCES



Research Support Information Network (RESIN)

Presented by: Office of the Vice Chancellor for Research

Date: March 3, 2015



Agenda

■ Updates & Timely Information from Research Support:

★ Office of the VCR
URC

★ IRB
IACUC
HIPAA

UAMS Library

★ BioVentures

★ Cost Accounting

COI

ORSP

ORC

DLAM

OGSP

CCTR/Core Facilities

★ Finance Supply Chain



New BioVentures Director

Larry Cornett, Ph.D., Vice Chancellor of Research

- **New BioVentures Director - Nancy Gray, Ph.D.**
 - Ph.D. in Medicinal Chemistry from Univ. of Illinois
 - 30 yrs. experience in biomedical industries
 - Author of >20 technical publications and inventor on >30 U.S. patents
 - Office – BioVentures, Room 111
 - 501-686-6696, nmgray@uams.edu



BioVentures Update

Nancy Gray, PhD, Director, BioVentures

- **Three Major Functions**
 - Licensing intellectual property
 - Invention disclosures, patents, copyrights
 - Marketing and licensing to third parties
 - Developing spin-off opportunities
 - Intellectual property, people, money
 - Managing BioVentures incubator



BioVentures Update

Nancy Gray, PhD, Director, BioVentures

- **Intellectual Property Licensing**
 - Technology assessment
 - Prior art/competition
 - Market assessment
 - IP prosecution management
 - Licensing
 - Marketing
 - Agreement negotiation



BioVentures Update

Nancy Gray, PhD, Director, BioVentures

■ Spin-off Companies

- Faculty resource for company formation with SBIR or STTR funding
- Assistance with business plan drafting
- Introduction to management resources to run the business
- Networks to assist with financing and growth of the business



BioVentures Update

Nancy Gray, PhD, Director, BioVentures



Incubator Facility
401 South Cedar Street

3/9/2015

7



BioVentures Update

Nancy Gray, PhD, Director, BioVentures

■ Contacts

- For intellectual property and licensing
 - Nancy Gray (nmgray@uams.edu)
- Spin-off support
 - Ben Wofford (bwofford@uams.edu)
- BioVentures operations
 - Teresa Shaddock (tshaddock@uams.edu)
- General inquiries
 - Alison Gill (amgill@uams.edu)



Fees for Use of External IRBs

Jennifer Holland, JD, Director, IRB

- **Industry Sponsored studies** using external IRBs will require the study budget to include a \$1000 administrative review fee. This fee should be listed in the budget template as “Study Start-up Administrative Tasks”.
- This will only apply to Industry Sponsored studies.
- Exceptions to this fee must be approved by the Vice Chancellor for Research



Fees for Use of External IRBs

Jennifer Holland, JD, Director, IRB

- **Why is there a fee if the UAMS IRB is not reviewing?**
 - All institutional review processes are still required
 - Additional coordination between offices required for consent form language
 - Each external IRB has different processes. The workload on the UAMS IRB Contact will vary substantially from IRB to IRB
 - Local Context Review
 - IRB Sub-Committee must review for issues specific to UAMS and local populations



Fees for Use of External IRBs

Jennifer Holland, JD, Director, IRB

- Even though the Sponsor had approved our consent form changes, Quorum required corrections of the following contingences before submission to the Board. Contingencies were provided in multiple emails rather than all at once.
 - As standard practice, the study team places a barcode in the footer of every consent form so that the form can be scanned to the medical record. Justification for the use of barcode was provided upon submission. Quorum rejected because the change was not tracked in the actual footer of document.



Fees for Use of External IRBs

Jennifer Holland, JD, Director, IRB

■ Contingencies

- Study team changed formatting from “align text left” to “justify text”. This made the form easier to read. Quorum rejected because a rationale for the format change was not provided and the format change was not tracked.
- Study team revised consent form to use consistent font size but failed to track and justify this change. Quorum rejected with no explanation. It appears that Quorum requires the HIPAA language in larger font if consent form and authorization are combined.
- UAMS submitted forms through the CRO. Novartis, and obviously UAMS, had approved. The Novartis approval was provided to Quorum. Quorum rejected because explicit approval from UAMS was not provided.



Fees for Use of External IRBs

Jennifer Holland, JD, Director, IRB

■ Contingencies (continued)

- UAMS remains responsible for the conduct of the study. Therefore we added UAMS contact information, listed as UAMS Human Research Protection Program. Quorum rejected. Required amendment of IRB Authorization Agreement even though initial agreement clearly addressed UAMS responsibilities.
- Last submission to Quorum before it went for review. Verified that the Quorum approved template was used, that all changes were tracked, that Novartis approval was provided, that all previously required changes were made. Quorum rejected because their IRB has now changed its mind regarding their previously approved pregnancy and contraception clause.



Fees for Use of External IRBs

Jennifer Holland, JD, Director, IRB

- Every external IRB, CRO and Sponsor will have different requirements for institutional responsibilities, start-up process, submission, approval, access to documents, etc.
- These examples illustrate why study coordinators and the IRB Office are not finding the external IRB process as efficient as anticipated by many in the research community.
- This experience is also one reason my password for each external IRB system involves something related to headaches.



OMB Circulars and the Uniform Guidance

Ty Stephens, Director of Cost Accounting

- In an effort to make a 21st-Century government that is more efficient, effective and transparent, the Office of Management and Budget has replaced OMB Circulars with a **single Uniform Guidance: 2 CFR 200**
- This consolidation of regulations has had limited impact on UAMS research administration.



OMB Circulars and the Uniform Guidance

Ty Stephens, Director of Cost Accounting

- **Items of Cost addressed by COFAR while consolidating OMB Circulars into UG**
 - Administrative Expenses
 - Clerical and Admin Salaries (integral)
 - Computing Devices (allocable and essential)
 - Effort Reporting
 - Consistent Application of F&A rate
 - Clarification on Voluntary Cost Share
 - Procurement Standards



e-Procurement

Dave Ripa, Supply Chain Officer

■ What is e-Procurement

■ Operational Definition:

- The use of the internet or a company's intranet to procure goods and services used to conduct business. An e-procurement system can streamline all aspects of the purchasing process while applying tighter controls over spending and product preferences.

Source: businessdictionary.com



e-Procurement

Dave Ripa, Supply Chain Officer

- **Why increase e-commerce?**
Financial / Operational Benefits
- Studies show:
 - 2- to 3-fold increase in workflow productivity
 - 30 to 65% lower costs to process e-orders compared to phone or fax
 - 70 to 90% faster supplier acknowledgements
 - 20% reduction in time spent on manual data entry in Accounts Payable (AP)
 - 25 to 30% fewer invoice discrepancies



e-Procurement

Dave Ripa, Supply Chain Officer

■ Rational for Change

■ Financial

- Leverage technology to improve efficiencies
- Increase spend under contract (goal +80%) / today 30% (\$660k)
- Ensure that we are paying the right price for the right product the first time (\$663k)
- Capture of additional revenue (HCPCS) (\$1.2M)

■ Operational

- Leverage strategic relations and gain volume discounts – economies of scale
- Greater visibility to spend- to make more proactive procurement decisions
- Make it easier for end-users to find what they seek

3/9/2015

e-Procurement

Dave Ripa, Supply Chain Officer



Welcome to the NEW Sutter Health eMarketplace!

Sutter Health's OneSource is an internal site for streamlining the Supply Chain process.

Useful OneSource Information:
MySutter OneSource Resources Page
OneSource Frequently Asked Questions (FAQ) v2
Product Search Tips
Other Potentially Available Features and Training Aids From SciQuest

Non-Catalog and Service Forms

Non-Catalog Form with Attachment	A General Use Service Form	Elevator Service	Janitorial Service	Landscaping Service	Parking Service
Please complete this form to request any Non-Catalog item that needs an attachment. Required fields are shown in BOLD . If you have any questions, please contact OneSource Support.	Repair - Clinical Equipment	Repair - Non-Clinical Equipment	Supplier Rep Non-Catalog Form	Repair - Other	Svc Contract-Clinical Equipment

Lab - Scientific / Medical - Clinical Supplies / Hosted

 Scientific Equipment Supplies	 Medical Products	 Medical Surgical	
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Pharmacy

 Specialty Care Distribution

Office Supplies / Computer/ Audio Video

		 Printed Forms	 (Requests)	 (Buyers)
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MRO Facilities / Furniture

	 Level II / Furniture
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- Sample screen





e-Procurement

Dave Ripa, Supply Chain Officer

▪ Scope of e-Procurement

SAMPLE		
In Scope (contracted Items)	Poss. In Scope ?	Not in Scope
Medical Supplies	Specialty Bed Rentals	Non standard equipment
Surgical Supplies	Rx	Capital Equipment
Implants	Food	Sole Source Justifications
Office Supplies	Catering Services	P-Card purchases
Furniture	Contracted service agreements	Non contracted service agreements
Medical Gas	Business Card Printing	Construction Projects
Computer purchases	Wound care rentals	Bids
MRO Supplies	Lab coat rental	RFP's
Research supplies	Uniform rental	Check with Orders
Standardized Equipment	Etc.	Non-Approved medical supplies (need VAT approval)
Lab supplies		
Radiology Supplies		
Etc.		



e-Procurement

Dave Ripa, Supply Chain Officer

■ Timeline of Events

- Kickoff 3rd week for March 2015
- Electronic loading of contracts March – May
- Turn on 300+ electronic commerce connections (EDI)
April - June
- Onboard trading partners in SciQuest Spend Director
June – Aug
- Aug – Sept Testing / Training
- Go Live – Sept 1st



e-Procurement

Dave Ripa, Supply Chain Officer

■ Questions?





UAMS Student Research Day

Linda Williams, Research Liaison, Office of Research

- **April 15, 2015** (COPH and IDW)
 - Abstract submission deadline - March 24, 2015
 - 132 abstracts submitted in 2014
 - Participants (Graduate students, Professional students, Post docs and House staff)
 - Poster sessions 10:00 – 11:30 a.m. and 1:15 – 2:45 p.m.
- Keynote speaker **Maureen Smith, MD, MPH, PhD**
 - “The Journey to a Learning Health System at an Academic Medical Center”
 - 12:00 p.m. – 1:00 p.m., Lunch for 200



UAMS Student Research Day

Linda Williams, Research Liaison, Office of Research

■ Keynote speaker Maureen Smith, MD, MPH, PhD



- Professor, Univ. of Wisconsin-Madison School of Medicine and Public Health, Depts. of Population Health Sciences, Family Medicine, and Surgery
- Dir. of UW [Health Innovation Program](#), Dir. of [Community Academic Partnerships](#) core of NIH-CTSA Institute for Clinical and Translational Research, and Assoc. Dir. for Population Sciences at [UW Carbone Cancer Center](#)
- Research examines effectiveness of health care system for aging and chronically ill persons



Next RESIN

■ Next RESIN

- **April 7, 2015 @ 12:00 p.m.**
- Location - **Walton Auditorium**, Winthrop P. Rockefeller Cancer Institute, 10th floor
- All RESIN presentations archived on the UAMS Research website
 - http://www.uams.edu/research/RESIN_Archive.asp